

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.1

Change Proposal reference
(To be completed by the TP Sec.)

OCCP066

Version No.

B.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE Change of Sewerage SPID Connection date ownership

A.1.b. COMPANY Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE Belinda Oldfield NAME Belinda Oldfield

A.1.d. CONTACT NAME Stephen McIntosh CONTACT EMAIL; TEL./MOB. Stephen.mcintosh@scottishwater.co.uk 07875 873070

A.1.e. ASSOCIATED MCCP / OCCP

A.1.f. ASSOCIATED DOCS. MCCP239

A.1.g. PROPOSED URGENCY NON-URGENT

A.1.h. REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
Sewer only SPID connection date is a Licensed Provider owned field. There have been issues of alignment between SPID creation and actual connection dates and the connection dates submitted to the CMA. Market changes were approved under MCCP239 at the February 2019 Technical Panel to address this issue.		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
The Operational Code wording was shared in MCCP239 but there was no formal OCCP submitted to formalise the changes required to the Operational Code. This OCCP details the Operational Code changes required to support the changes in MCCP239.		
Principles and Objectives affected		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	N	
Transparency	N	
Simplicity, Cost-effectiveness, and Security	N	
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES		

A.2.c. IMPACT
 Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)

CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	Changes to Operational Code processes 2 and 4
CSDs	N	Approved in M CCP239
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	Y	The process will remove the requirement for Licensed Providers to send the Sewer connection date transaction T007.1

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

Operational Code

Changes to processes 2 and 4 of the Operational Code that deal with Sewerage New Connections. Updating the processes to reflect that Scottish Water updates the CMA following notification of the connection date from the Licensed Provider.

New Connections

Process 2 - Application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)

Step 8

The Licensed Provider arranges for the connection to be made on the agreed date and then notifies Scottish Water of the connection date within 5 Business Days of the connection being made.

Step 9

~~The Licensed Provider makes the connection on the agreed date and notifies the Central Market Agency of the date of the new connection within~~ Within 5 Business Days of the receipt of the Licensed Provider connection notification Scottish Water will update the Central Market Agency in accordance with the Market Code. ~~connection.~~ The Licensed Provider also confirms to Scottish Water the completion of the connection as set out in Form B, and provides a copy of the 'as built' drawings relating to the on-site and off-site works.

New Connections

Process 4 - Application for a sewerage mains/trunk sewer/waste water system connection (a "Part 2/3" Sewerage Connection) accompanied by an application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)

Step 9

The Licensed Provider arranges for the Part 2/3 connection and the Part 1 connection(s) to be made on the agreed date and then notifies Scottish Water of the connection date within 5 Business Days of the connection being made.

~~Within 5 Business Days of receipt of the Licensed Provider connection notification Scottish Water will update the Central Market Agency in accordance with the Market Code. The Licensed Provider notifies the Central Market Agency within 5 Business Days of the date of the new premises being connected in accordance with the Market Code.~~

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

The next Operational Code publication date following implementation of MCCP239

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2015-04-16	ASSESSMENT END DATE	2015-06-25
B.1.b. IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
B.1.c. CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

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B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.c. TP ASSESSMENT
Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	

B.3. TP DECISION TP APPROVED

B.4. FINAL TP VIEWS Unanimously approved by the TP on 12th December 2019

B.5. PLANNED IMPLEMENTATION DATE TBC

WITHDRAWN BY PROPOSER?	YES / NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	
C.2. APPROVAL STATUS	APPROVED CHANGE / REJECTED
C.3. DATE OF APPROVAL STATUS	yyyy-mm-dd
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	March 2020
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	